

New Zealand Standard for Sustainable Forest Management Summary Report

Hancock Forest Management NZ Ltd

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SECTION A – PUBLIC SUMMARY REPORT

1. General Information

1.1 Name and Contact Information

Organization name	Hancock Forest Management (NZ) Limited		
Contact person	Kerry Ellem		
Address	Unit 5, 120 Hamilton Street, Tauranga, New Zealand 3110 PO Box 13404, Tauranga, New Zealand 3141	Telephone	+64 7 571 7915
		Fax	+64 7 571 7920
		e-mail	kellem@hrng.com
		Website	www.hfm.nz

1.2 NZS / PEFC Sales Information

<input checked="" type="checkbox"/> AFS/ PEFC Sales contact information same as above.			
AFS/ PEFC salesperson			
Address		Telephone	
		Fax	
		e-mail	
		Website	

1.3 Scope of Certificate

Any changes in the scope of the certification since the previous audit are highlighted in **yellow** in the tables below.

Checking this box indicates this is a Stage 1 summary report, and the scope table below reflects relevant information for the certification *applicant* (i.e., certification decision not yet determined).

Certificate Code <input type="checkbox"/> N/A (Stage 1 summary report)	
Certification Statement <input type="checkbox"/> N/A (Stage 1 summary report)	The scope of the certificate includes all forest management activities associated with the Hancock Forest Management NZ Ltd sustainable forest management system within the Defined Forest Area (DFA). Activities include the planning, establishment, maintenance, roading, harvest, transport, and sale of trade of forest products. Hancock Forest Management has sufficient control over any contracting or outsourcing of forest management planning and activities to ensure compliance to applicable certification requirements. More information regarding Hancock, including a link to its Forest Management Plan can be found at http://hfm.nz and maps here, http://hfm.nz/about-us/ .

Certification Recommendation by Audit Team to SCS <input type="checkbox"/> N/A (Stage 1 summary report)	<input checked="" type="checkbox"/> Initial or continued certification is recommended, subject to the Organization meeting deadlines to response any findings issued.	<input type="checkbox"/> Initial or continued certification is not recommended (<i>explain</i>):
Certificate Type	<input checked="" type="checkbox"/> Single DFA	<input type="checkbox"/> Multiple DFA
# Group Members (if applicable)	<input type="checkbox"/> Group	
Number of DFAs in Scope of Certificate		
Description of DFA(s) as Advised to AFS Limited	Area (ha):	Central Region is 108,017 ha.
	Area (ha):	Eastern Region is 33,497 ha.
	Area (ha):	Northern Region is 49,114 ha.
	Area (ha):	OTPP Region: 42,260 ha
	Refer to publicly available maps and information about the DFA(s) provided by the Organization on its website or upon request via the contact person cited at the beginning of this report or at the company website at http://hfm.nz/about-us/ .	
Exclusion of areas from DFA(s)	<input checked="" type="checkbox"/> N/A – Entire DFA(s) owned or managed by the Organisation is included in the scope of certification.	
	<input type="checkbox"/> Organisation wishes to exclude portions of the DFA(s) under evaluation from the scope of certification (check all that apply): <ul style="list-style-type: none"> <input type="checkbox"/> Nursery areas within the DFA(s); <input type="checkbox"/> Transportation infrastructure under the control of the Organisation, but outside of the DFA(s); <input type="checkbox"/> Areas under the control of the Organisation such as log dumps, merchandising yards or ports within or outside of the DFA(s). Note that COC requirements still apply to the forest products that have come from the DFA(s) and may be evaluated onsite to determine conformance to such requirements; and/or <input type="checkbox"/> Public infrastructure development areas not under the management control of the Organisation (see AS4708 GN01-2013, Requirement 3.9, Guide to implementation, infrastructure, item 2 for more information). 	
	<input type="checkbox"/> The Organisation has persistently or seriously failed to meet the certification requirements for specific areas within the DFA(s). The Certification Body has reduced the scope of certification to exclude these areas not meeting the certification requirements. These reductions have been duly reviewed by the Certification Body and are in line with AFS certification requirements.	
Applicable Standards/ Guidance	<input checked="" type="checkbox"/> NZS AS4708-2014 (New Zealand Standard for Sustainable Forest Management)	

Forest Management Type	<input checked="" type="checkbox"/> Plantation	<input type="checkbox"/> Natural/ semi-natural
Forest Product Type(s)	<input checked="" type="checkbox"/> Roundwood	<input checked="" type="checkbox"/> Chips
	<input type="checkbox"/> Non-timber Forest Products	<input type="checkbox"/> Other (<i>describe</i>):
Species (Common and Scientific Name(s))	Radiata Pine (<i>Pinus radiata</i>); some Douglas Fir (<i>Pseudotsuga menziesii</i>), mixed eucalypt species	
Statement on the conformity and effectiveness of the management system together with a summary of the evidence relating to: <ul style="list-style-type: none"> - the capability of the management system to meet applicable requirements and expected outcomes; - the internal audit and management review process 	<p>Hancock Forest Management (NZ) Ltd continue to implement a forest management system in compliance with the requirements of the standard.</p> <p>The review of the Forest management System to confirm its ongoing adequacy effectiveness and suitability has been completed based on the review of documentation in compliance with the standard requirements.</p> <p>The organisation also has a strong focus on developing a safety culture and this is evident from site visits which observed a satisfactory level of awareness by forest workers of risks/hazards and controls related to their operational activities.</p> <p>The comprehensive and prescriptive harvest planning framework implemented across all the HFM regions demonstrates a consistency in documentation used and implementation of work practices throughout the company.</p> <p>Overall, HFM staff and contractors display a high level of capability and professionalism in the delivery of forest management services.</p>	
Conclusion on the appropriateness of the certification scope	The scope of certification is relevant to the forests and lands managed by HFM as appropriate, and for the 2019 audit includes the OTPP (Ontario Teacher Pension Plan) estate which is now managed by HFM NZ Ltd.	

2. Audit Dates and Activities

2.1 Audit Itinerary and Activities

N/A (Stage 1 Audit)

Type of Audit:	<input type="checkbox"/> Evaluation/ re- evaluation	<input type="checkbox"/> 1 st annual surveillance audit	<input type="checkbox"/> 2 nd annual surveillance audit	<input checked="" type="checkbox"/> 3 rd annual surveillance audit
	<input type="checkbox"/> Combined audit	<input checked="" type="checkbox"/> Joint audit	<input type="checkbox"/> Integrated audit	
	<input type="checkbox"/> Special audit (<i>explain</i>):			
Date of Audit:	5 th to the 9 th August 2019			
Auditor(s):	Graeme Lea			
Objective and Scope of the Audit: <input type="checkbox"/> Check if audit objectives were met	<p>The objectives and scope of this audit are described as:</p> <ul style="list-style-type: none"> • Determination of the conformity of the organization’s forest management system with audit criteria; • Evaluation of the ability of the forest management system to ensure the organization meets applicable statutory, regulatory and contractual requirements; • Evaluation of the effectiveness of the forest management system to ensure the organization is continually meeting its specified objectives; and • As applicable, identification of areas for potential improvement of the forest management system (OFIs) • Visits to a sample of operational sites representing a range of forest management activities in 2 Regions including supporting infrastructure including: Eastern and Central Regional offices; Tarawera Depot, Kinleith Log Yard, Kawerau Processing Yard, harvesting and forestry operations in Eastern, Northern and OTPP FMUs and included inactive sites and road systems throughout. 			
Any deviations from the audit plan and their reasons, if applicable:	There was one deviation during this audit: The Kawerau Fire Store was not visited to due to delays in the fourth day of the audit (extended site visits and flat tire on the auditors vehicle)			
Summary of most important observations, positive as well as negative, regarding implementation and effectiveness of the Forest Management System:	<p>Hancock FM continue to implement a forest management system in compliance with the requirements of the NZ4708 Forest Management Standard.</p> <p>No Major non-conformities were identified as part of this assessment.</p> <p>1 Minor non-conformance identified during the surveillance audit was in relation to Criterion 2.6</p> <p>That HFM NZ website (www.hfm.nz) containing the public forest management plan summary was dated 2017.</p>			

	Opportunities for improvements are identified in the body of the main report.
Significant changes, if any, that affect the management system of the client since the last audit took place:	The only significant change related to the reorganisation of the HFM NZ business is to include the management of the OTHP forests. This has meant a management increase of 15 staff. The management of OTHP forests has for the purposes of this audit, been considered as part of a separate extension of scope visit.
Effectiveness of taken corrective actions regarding previously identified nonconformities, if applicable:	Corrective actions to address non-conformities from the last visit were reviewed and considered effective.
Unresolved issues (if identified):	There were no unresolved issues identified as part of this assessment.