

# FOREST MANAGEMENT AND STUMP-TO-FOREST GATE CHAIN-OF-CUSTODY SURVEILLANCE EVALUATION REPORT

## *Hancock Forest Management NZ Ltd*

Rotorua, NZ

**SCS-FM/COC-00066P**

Unit 5, 120 Hamilton Street, Tauranga, 3110, New Zealand

Kerry Ellem, General Manager

<http://hfm.nz/>

CERTIFIED	EXPIRATION
28 September 2018	27 September 2023

DATE OF FIELD EVALUATION
05-09 August 2019
DATE OF LAST UPDATE
4/October/2019

SCS Contact:

**Brendan Grady** | Director  
Forest Management Certification  
+1.510.452.8000  
[bgrady@scsglobalservices.com](mailto:bgrady@scsglobalservices.com)

**SCS**global  
SERVICES

Setting the standard for sustainability™

2000 Powell Street, Ste. 600, Emeryville, CA 94608 USA

+1.510.452.8000 main | +1.510.452.8001 fax

[www.SCSglobalServices.com](http://www.SCSglobalServices.com)

## Foreword

Cycle in annual surveillance evaluations				
<input checked="" type="checkbox"/> 1 <sup>st</sup> annual evaluation	<input type="checkbox"/> 2 <sup>nd</sup> annual evaluation	<input type="checkbox"/> 3 <sup>rd</sup> annual evaluation	<input type="checkbox"/> 4 <sup>th</sup> annual evaluation	<input type="checkbox"/> Other (expansion of scope, Major CAR audit, special audit, etc.):
<b>Name of Forest Management Enterprise (FME) and abbreviation used in this report:</b>				
Hancock Forest Management NZ Ltd - HFM				

All certificates issued by SCS under the aegis of the Forest Stewardship Council (FSC) require annual evaluations to ascertain ongoing conformance with the requirements and standards of certification. A public summary of the initial evaluation is available on the FSC Certificate Database <http://info.fsc.org/>.

Pursuant to FSC and SCS guidelines, annual / surveillance evaluations are not intended to comprehensively examine the full scope of the certified forest operations, as the cost of a full-scope evaluation would be prohibitive and it is not mandated by FSC evaluation protocols. Rather, annual evaluations are comprised of three main components:

- A focused assessment of the status of any outstanding conditions or Corrective Action Requests (CARs; see discussion in section 4.0 for those CARs and their disposition as a result of this annual evaluation);
- Follow-up inquiry into any issues that may have arisen since the award of certification or prior to this evaluation; and
- As necessary given the breadth of coverage associated with the first two components, an additional focus on selected topics or issues, the selection of which is not known to the certificate holder prior to the evaluation.

### Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Forest Stewardship Council. This section is made available to the public and is intended to provide an overview of the evaluation process, the management programs and policies applied to the forest, and the results of the evaluation. Section A will be posted on the FSC Certificate Database (<http://info.fsc.org/>) no less than 90 days after completion of the on-site evaluation. Section B contains more detailed results and information for required FSC record-keeping or the use by the FME.

## Table of Contents

---

SECTION A – PUBLIC SUMMARY .....	4
1. GENERAL INFORMATION .....	4
1.1 Evaluation Team.....	4
1.2 Total Time Spent on Evaluation .....	4
1.3 Standards Used .....	4
2. CERTIFICATION EVALUATION PROCESS .....	5
2.1 Evaluation Itinerary, Activities, and Site Notes.....	5
2.2 Evaluation of Management Systems .....	8
3. CHANGES IN MANAGEMENT PRACTICES .....	9
4. RESULTS OF EVALUATION .....	9
4.1 Definitions of Major CARs, Minor CARs and Observations.....	9
4.2 History of Findings for Certificate Period.....	9
4.3 Existing Corrective Action Requests and Observations .....	10
4.4 New Corrective Action Requests and Observations .....	14
5. STAKEHOLDER COMMENTS .....	16
5.1 Stakeholder Groups Consulted .....	17
5.2 Summary of Stakeholder Comments and Evaluation Team Responses .....	17
6. CERTIFICATION DECISION .....	17
7. ANNUAL DATA UPDATE .....	18

## SECTION A – PUBLIC SUMMARY

### 1. General Information

#### 1.1 Evaluation Team

<b>Auditor name:</b>	Kimberly Robertson	<b>Auditor role:</b>	Lead Auditor
<b>Qualifications:</b>	Kimberly is a Lead auditor for FSC FM and Senior Lead auditor for FSC COC/CW. Kimberly has 22 years of experience in forestry in New Zealand. She has a Bachelor of Science in Ecology/Zoology and a Masters in Forestry Science. She has worked on environmental impacts of forestry and forest products including carbon sequestration, and across the supply chain from nursery to sawmilling. Kimberly is a qualified verifier for the Australasian EPD Programme and is ISO 14001 EMS qualified in 2015. Kimberly has carried out 40+ FSC CoC audits and been part of eight FM audit teams since June 2015.		

#### 1.2 Total Time Spent on Evaluation

A. Number of days spent on-site assessing the applicant:	5
B. Number of auditors participating in on-site evaluation:	1
C. Number of days spent by any technical experts (in addition to amount in line A):	
D. Additional days spent on preparation, stakeholder consultation, and follow-up:	2.25
<b>E. Total number of person days used in evaluation:</b>	<b>7.25</b>

#### 1.3 Standards Used

All standards used are available on the websites of FSC International ([www.fsc.org](http://www.fsc.org)) or SCS Global Services ([www.SCSglobalServices.com](http://www.SCSglobalServices.com)). All standards are available on request from SCS Global Services via the comment form on our website. When no national standard exists for the country/region, SCS Interim Standards are developed by modifying SCS's Generic Interim Standard to reflect forest management in the region and by incorporating relevant components of any Draft Regional/National Standard and comments from stakeholders. More than one month prior to the start of the field evaluation, SCS Draft Interim Standards are provided to stakeholders identified by FSC International, SCS, forest managers under evaluation, and the FSC National or Regional Office for comment. SCS's COC indicators for FMEs are based on the most current versions of the FSC Chain of Custody Standard, FSC Standard for Group Entities in Forest Management Groups (FSC-STD-30-005), and FSC Accreditation Requirements.

Standards applicable <i>NOTE: Please include the full standard name and Version number and check all that apply.</i>	<input checked="" type="checkbox"/> Forest Stewardship Standard(s), including version: National Standard for Certification of Plantation Forest Management in New Zealand v5.7, 27 <sup>th</sup> September 2013
	<input checked="" type="checkbox"/> FSC Trademark Standard (FSC-STD-50-001 V2-0)
	<input checked="" type="checkbox"/> SCS COC indicators for FMEs, V7-0
	<input type="checkbox"/> FSC standard for group entities in forest management groups (FSC-STD-30-005), V1-1
	<input type="checkbox"/> Other:

## 2. Certification Evaluation Process

### 2.1 Evaluation Itinerary, Activities, and Site Notes

<b>Date:</b> Monday 5 <sup>th</sup> August – HFM Office Rotorua	
HFM Rotorua Office 9 am – 10 pm	Opening Meeting: Introductions, client update, review scope of evaluation, audit plan, intro/update to FSC and SCS standards, confidentiality and public summary, conformance evaluation methods and tools review of open CARs/OBS, emergency and security procedures for evaluation team, final site selection.
Forest Management document review meeting	Documentation and record review for Forest Management principles assigned per the Forest Management Audit Plan. Staff Interviews
<b>Date:</b> Tuesday 6 <sup>th</sup> August – Eastern	
<b>FMU / location / sites visited</b>	<b>Activities / notes</b>
Wahu Rd, HA 4958 CPT 99, Tiaki, ground based mechanized harvesting –	Interviewed Contractor re: Pre Start Risk Assessment, Diesel and fuel storage, container disposal, First aid kits, Threatened Species, Water Management.
HA 5690 CPT 84, Tiaki, ground based mechanized harvesting	Interviewed Contractor and document review re Emergency procedures, Daily Risk Assessment and toolbox meetings, procedures for harvesting near reserve, first aiders on site, training records, work hours,
KAW4/421/6, OTHP, 2 planting crews	Interviewed contractors and document review re: Pre op assessment, archeological sites, RTE Species, First aiders on site, first aid kit, Talk 5 mobile app for risk assessment, native vegetation area on edge of planting area, drug free requirements, travel time, wetland on site.
Taneatua forest, OTHP: HA 9241 CPT 442, Hauler harvesting,	Interviewed Contractor, document review re Operation Prescription Hazards, Tool Box meetings, HFM Environmental audit, Emergency procedures, Daily Risk Assessment and toolbox meetings, procedures for harvesting near reserve, first aiders on site, training records, work hours, threatened species and inspection of oil storage, diesel storage, spill kit, fire extinguishers.
Kinleith forest, Kamahi Road, Compartment 167, HA 3681 Sinton 53	Meet crew supervisor and receive site induction. Review harvest documentation including daily toolbox meeting minutes, first aid certificates, daily felling plans, harvest maps and slope maps. Confirm harvest area had no significant values. Inspect
Kinleith forest, Tram Road, Compartment 52, HA 3827. Goodman 52	Meet crew supervisor and receive site induction. Confirm that this compartment is surrounded by SNA (Hoiho Wetland). Inspect Felling boundary against the SNA. Inspect harvest documentation including Felling plans and toolbox meeting minutes. Inspect fire extinguishers on harvesting and processing machinery. Confirm 58 loads logs per week from this harvest area
Kinleith forest, Pebble Road, Compartment 209, HA 3627. Olsen 64	Meet crew supervisor and HS representative and receive site induction. Complete interview with crew supervisor and HS representative. Review site documentation including training

	records, the Hancock HCV book, Safety alert sheets, harvest plans slope maps and Felling plan.
Kinleith forest, Whangapoa Road, Compartment 196, HA 3821 Forward Contracting	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures, HFM preassessment documentation and Hazard identification. Confirm H and S manual is developed by Safety Works Ltd (Taupo). Confirm crew have monthly Health and Safety meetings as well as daily toolbox meetings
Kinleith forest, Tram Road, Compartment 224, KDL 2	Meet crew supervisor and receive presentation around training program being carried out using young trainees being put through basic forestry skills. HFM have allowed establishment areas aside for planting training by KDL. This is a community-based training program. At the time of the visit there were eight trainees on-site
Kinleith Log Yard, Kinleith	Visit Log Yard, receive site induction and then meet and interview applicable management representatives regarding log receipt, storage and dispatch processes. Carry out traceability verification checks
<b>Date: Wednesday 7<sup>th</sup> August - Central</b>	
<b>FMU/ location/ sites visited</b>	<b>Activities/ notes</b>
Kinleith Forest, Taumata Plantation, HA 4204, G White Logging (40), hauler harvesting, 2 <sup>nd</sup> rotation	Interviewed contract foreman re Pre op Risk assessment, Daily plan, First aid kits, first aiders on site, evacuation procedure, fire extinguishers, fuel management, Threatened Species, Incident recording, archeological sites, document review and harvesting along stream at bottom of site.
Kinleith Forest, Taumata Plantation, HA 4554, CPT 378, Ground based, mechanical harvesting, Lakes Harvesting	Interviewed contractor re potential historic sights, their own hazard id process, first aider onsite and training record, first aid kits, fire extinguisher, threatened species guide.
Kinleith Forest, Taumata Plantation, HA 3901. Ground based mechanical harvesting, Tombleson 77 (JDL contracting).	Interviewed contractor re potential historic sights, reserve and SNA's, fatigue, daily meetings, pre op assessment, first aider onsite and training record, first aid kits, fire extinguisher, threatened species guide, HFM Environmental and safety alerts, review of site and harvesting around reserve edge.
Ko Tainui – Waka Carving archeological site. Taumata	Recently harvested area. Interviewed Environmental planner and contractor supervisor (from G White Logging) re harvest planning and implementation.
Mokaihaha Kokako project	Reserve isn't managed by HFM (DOC land) but is adjacent to HFM managed area. HFM have provided financial support and a car park area on HFM NZ land for use by the public accessing Mokaihaha (to be managed by the council).
Atholl Depot - Tokoroa	Interview Contractor re Forest Security, Fire Depot, Agrichemical store, review of the Fire Depot and chemical storage.
Wainui Forest, Woodys Track Road HA 250. DG Glenn 36	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures, HFM preassessment documentation and Hazard identification. Confirm the compartment is 22.8 ha clear fell. Some crew travelling from Napier on a daily basis.

Wainui Forest, Hydro Road, HA 9704, compartment 414, Hale 33	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures, HFM preassessment documentation and Hazard identification. This crew is harvesting in HFM managed forests for short-term only (usually work for Oji Fibre Solutions). Inspect bunding around refueling station. Visit and observe machine Felling operations, confirm manual felling on steeper faces. No HCV values noted for this compartment
Aratiatia Forest, Surge Chambers Road, Compartment one, HA 7971 Fast 26	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures, HFM preassessment documentation and Hazard identification. Confirm historical site shown on the harvest maps (but located outside of harvest area) and not impacted by operations. Review harvest cruise training matrix and confirm against qualifications. Inspect first aid kits
Tahorakuri Forest, Achilles Road, HA 7728, Olsen 80	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures. Visit log landing and observe QC carrying out log marking and QC duties. Review daily toolbox meeting minutes. This is a fully mechanised harvesting crew (apart from the QC). No HCV noted for this specific compartment
Tahorakuri Forest, Otago Sheffield Road, Compartment 8381, HA 8084. Hohneck Tractor Logging	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures. Review daily toolbox meeting minutes. This is a fully mechanised harvesting crew
<b>Date: Thursday 8<sup>th</sup> August – Rotorua</b>	
<b>FMU/ location/ sites visited</b>	<b>Activities/ notes</b>
Tokerau wetland, Rotorua – HCV 3, OTHP. Maori leasehold land	Wetland visit, interview HFM Environmental planner re handover process from previous forest manager, management and monitoring.
Tikitere Forest HCV sites, OTHP (LEPT 13, LEPT 2, WET01 and GEOT- 02)	Visited a number of HCV sites, ranging from those not requiring much management (very few invasive weeds, to one site that has a large area of gorse and blackberry surrounding the HCV). Interview HFM environmental planner re site management/monitoring.
Rotoiti Forest, Kaiwaka Road, Compartment 217, HA 9141 – 01 H and R Logging 137	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures. Review daily toolbox meeting minutes. This is a fully mechanised harvesting crew. Review HCV site inside the harvest area, confirming buffer zones were in place, the site was known to the harvest crew and formed part of the harvest planning.
Tarawera Forest, Mitchell Road, Compartment 21, HA 4891 Newton Logging	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures. Review daily toolbox meeting minutes. This is a fully mechanised

	harvesting crew. Inspect log landing and view mechanised felling processes, as well as log truck loading.
Matahina Forest, Zodiac Road, compartment 370, HA 4950 DK Logging 117	Meet crew supervisor and receive site induction. Review site documentation including training records, emergency procedures. Review daily toolbox meeting minutes. This is a fully mechanised harvesting crew. Inspect log landing and view mechanised felling processes. This is a stem harvesting crew with felling and delimiting at the stump stems then all to log landing and taken in tree length form on private logging roads to log processing facilities
Matahina forest, Compartment 370. HA 4943	Inspect inoperative harvested and reestablished areas. Review harvest planning documentation
Tarawera Forest, Compartment 99, HA 4910	Inspect inoperative harvested and reestablished areas. Review harvest planning documentation
Tarawera Forest, Compartment 21, HA 4956	Inspect inoperative harvested and reestablished areas. Review harvest planning documentation
Kawarau Log Processing Yard	Visit and receive site induction and presentation in relation to log processing and dispatch from the site. Logs arrive both in a cut to length form and in a whole stem form are weighed measured, cut to length if required graded and loaded on to rail wagons for transport to port of export
HFM Office Rotorua	Documentation and record review for Forest Management principles assigned per the Forest Management Audit Plan. Staff Interviews.
<b>Date: Friday 7<sup>th</sup> August - HFM Office Rotorua</b>	
<b>FMU/ location/ sites visited</b>	<b>Activities/ notes</b>
8.30 am	Final Documentation review and staff interviews, COC and TM requirements.
12.00 pm	Lunch
12.30 pm	Closing Meeting Preparation: Auditor(s) take time to consolidate notes and confirm evaluation findings
2.30 pm	Closing Meeting: Review preliminary findings (potential non-conformities and observations) and discuss next steps
3.00 pm	Auditor departs

## 2.2 Evaluation of Management Systems

SCS deploys interdisciplinary teams with expertise in forestry, social sciences, natural resource economics, and other relevant fields to assess an FME’s conformance to FSC standards and policies. Evaluation methods include reviewing documents and records, interviewing FME personnel and contractors, implementing sampling strategies to visit a broad number of forest cover and harvest prescription types, observing implementation of management plans and policies in the field, and collecting and analyzing stakeholder input. When there is more than one team member, each member may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant field observations, interviews, stakeholder comments, and reviewed documents



and records. Where consensus among team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section and/or in observations.

### 3. Changes in Management Practices

There were no significant changes in the management and/or harvesting methods that affect the FME’s conformance to the FSC standards and policies.

Significant changes occurred since the last evaluation that may affect the FME’s conformance to FSC standards and policies (*describe*):

HFM now undertakes full management of the OTPP (Ontario Teachers’ Pension Plan) forests which is approximately 32,800 ha (net planted). Previously this estate was managed by HFM as the investment manager (long term planning, land management, accounting, sales and marketing) and another entity as the property manager in relation to implementing annual budget, daily forest management, planning, harvesting, establishment and engineering. HFM took over the day to day management of OTPP on 1 October 2018 using existing administrative and operational systems. This did not impact HFM ability to conform to the standard.

## 4. Results of Evaluation

### 4.1 Definitions of Major CARs, Minor CARs and Observations

*Major CARs:* Major nonconformances, either alone or in combination with nonconformances of all other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant FSC Criterion given the uniqueness and fragility of each forest resource. These are corrective actions that must be resolved or closed out before a certificate can be awarded. If Major CARs arise after an operation is certified, the timeframe for correcting these nonconformances is typically shorter than for Minor CARs. Certification is contingent on the certified FME’s response to the CAR within the stipulated time frame.

*Minor CARs:* These are corrective action requests in response to minor nonconformances, which are typically limited in scale or can be characterized as an unusual lapse in the system. Most Minor CARs are the result of nonconformance at the indicator-level. Corrective actions must be closed out within a specified time period of award of the certificate.

*Observations:* These are subject areas where the evaluation team concludes that there is conformance, but either future nonconformance may result due to inaction or the FME could achieve exemplary status through further refinement. Action on observations is voluntary and does not affect the maintenance of the certificate. However, observations can become CARs if performance with respect to the indicator(s) triggering the observation falls into nonconformance.

### 4.2 History of Findings for Certificate Period

FM Principle	Cert/Re-cert Evaluation	1 <sup>st</sup> Annual Evaluation	2 <sup>nd</sup> Annual Evaluation	3 <sup>rd</sup> Annual Evaluation	4 <sup>th</sup> Annual Evaluation
--------------	-------------------------	-----------------------------------	-----------------------------------	-----------------------------------	-----------------------------------

No findings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
P1	1.5.1,				
P2					
P3					
P4	4.2.1; 4.3.1	4.2.4, Obs 4.24			
P5	5.3.1				
P6		Obs 6.6.4			
P7					
P8					
P9		Obs 9.1.2			
P10					
COC for FM	1.2				
Trademark					
Group					
Other					

### 4.3 Existing Corrective Action Requests and Observations

<b>Finding Number: 2018.1</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU):	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	National Standard for Certification of Plantation Forest Management in New Zealand, 1.5.1
<b>Non-Conformity</b> (or Background/ Justification in the case of Observations): The indicator requires that unauthorized and illegal activities shall be identified, and responses made. A verifier is documented policies and procedures to protect the forest from illegal activities. The FME did not have such national level policies and procedures.	
<b>Corrective Action Request</b> (or Observation): The FME shall document policies and procedures to protect the forest from illegal activities.	
<b>FME response</b> (including any evidence submitted)	HFM have developed two new national policies Forest Access Security
<b>SCS review</b>	SCS reviewed the Forest Access Policy 24 May 2019 and Security Policy 31 May 2019, and they include Forest Entry permit requirements, illegal activities that may occur in the forest, how protect the forest and respond to them.
<b>Status of CAR:</b>	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

<b>Finding Number: 2018.2</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU): All regions	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	4.2.1
<b>Non-Conformity</b> (or Background/ Justification in the case of Observations): During a site visit to a planting crew in Kinleith Forest, it was found that there were no currently qualified first aid people on site (there were 3 listed in company records, however one certificate had expired, and 2 other crew members were absent at the time). The auditor also found during a site visit in Northland that although First Aid training had been undertaken by the entire crew, this was not recorded in onsite records (although it had been recorded in the company offices in Whangarei)	
<b>Corrective Action Request</b> (or Observation): Where records are reproduced in the field these should must be accurate and complete.	
<b>FME response</b> (including any evidence submitted)	It is a standard HFM NZ requirement that crews have sufficient staff with first aid training on site and this is checked via routine H&S audits. The crew in question had unexpectedly lost two staff at short notice. All crews have been checked and continue to be audited. A minor delay between training information being recorded in the office and on site is somewhat inevitable –unless they move to paperless systems.
<b>SCS review</b>	Health and Safety Systems audit report reviewed and it includes the requirement to have ‘Minimum of 2 ‘current’ first aiders on site.’ During the 2019 audit all sites visited had at least 2 currently qualified first aiders on site with records to confirm they had received the required training.
<b>Status of CAR:</b>	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

<b>Finding Number: 2018.3</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU):	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	4.3.1
<b>Non-Conformity</b> (or Background/ Justification in the case of Observations): Where the forest manager and contractors directly employ labour they shall demonstrate compliance with relevant legislation, which includes the <i>Employment Relations Act</i> (2000). Under the Act, sexual harassment (s. 108) includes the use of visual material of a sexual nature. At one of the worksites on land managed by the FME (Harvest Area 1160, Compartment 15, Otanemutu forest), the harvesting contractor who was directly employing labour was openly displaying visual material of a sexual nature.	
<b>Corrective Action Request</b> (or Observation): Contractor’s operating on land managed by the FME and who directly employ labour shall demonstrate compliance with the <i>Employment Relations Act</i> (2000).	
<b>FME response</b> (including any evidence submitted)	Staff and crews have been notified of this issue and staff check periodically
<b>SCS review</b>	No such material was identified during the 2019 site visits.
<b>Status of CAR:</b>	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

<b>Finding Number: 2018.4</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU):	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	5.3.1
<b>Non-Conformity</b> (or Background/ Justification in the case of Observations): Strategic and tactical / operational harvest planning and harvest operations shall be carried out in accordance with national best practice. The auditor observed that Harvest Area plans prepared in Central and Northern regions had a plan sign off protocol that included: <ul style="list-style-type: none"> <li>• Harvesting</li> <li>• Engineering</li> </ul>	

<ul style="list-style-type: none"> <li>• Environment</li> <li>• Forestry</li> </ul> <p>(For example, Harvest Area 2198, Compartment 5, 14 March 2016.)                  In contrast, Harvest Area plans prepared in eastern region had a plan sign off protocol of:</p> <ul style="list-style-type: none"> <li>• Harvest Planner</li> <li>• Felling Operations</li> </ul> <p>(For example, Harvest Area 1160, Compartment 15, 6 August 2018.)</p>	
<p><b>Corrective Action Request (or Observation):</b>                  The FME should consider using a consistent protocol to sign off harvest Area plans.</p>	
<p><b>FME response</b>                  (including any evidence submitted)</p>	<p>This was reviewed and as of a recent change all harvest plans now have a consistent sign off protocol</p>
<p><b>SCS review</b></p>	<p>2018 EMS Audit (HFM Internal audit), reviewed which notes for harvest planning 'Implement a planned peer review system by HFM environmental forester at draft stage. Completed.'</p> <p>Staff interviews noted that the sign off protocol for the eastern region have been updated to be consistent with the Central and Northern regions and confirmed this is the process for all harvest plans going forward.</p> <p>Reviewed recent harvest plan for eastern region as below and it has been signed off.                  HA Rangitaiki 4077 CPT 70. Signed off by Harvest Planner, Planning Manager, Environmental Planner, Harvest Manager and Forestry Manager – in June/July 2019. Harvest plans are based on a template that includes sign off by all roles listed.</p>
<p><b>Status of CAR:</b></p>	<p><input checked="" type="checkbox"/> Closed  <input type="checkbox"/> Upgraded to Major  <input type="checkbox"/> Other decision (refer to description above)</p>

<b>Finding Number: 2018.5</b>	
<p><b>Select one:</b> <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation</p>	
<p><b>FMU CAR/OBS issued to</b> (when more than one FMU):</p>	
<p><b>Deadline</b></p>	<p><input type="checkbox"/> Pre-condition to certification/recertification  <input type="checkbox"/> 3 months from Issuance of Final Report  <input checked="" type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation)  <input type="checkbox"/> Observation – response is optional  <input type="checkbox"/> Other deadline (specify):</p>
<p><b>FSC Indicator:</b></p>	<p>SCS COC indicators for FMEs 1.2</p>
<p><b>Non-Conformity (or Background/ Justification in the case of Observations):</b>                  The Hancock Forest Management Chain of Custody Procedures dated 1 March 2017 require reviewing to include all requirements, including training and training records, Product Group List and trademark use.</p>	
<p><b>Corrective Action Request (or Observation):</b>                  CoC procedures must be reviewed to ensure all requirements are met.</p>	

<b>FME response</b> <i>(including any evidence submitted)</i>	The CoC has now been updated to include the further requirements
<b>SCS review</b>	Hancock Forest Management (NZ) Ltd Chain of Custody Procedure June 2019 reviewed and it covers all requirements including training, trademark use and the product group list. Training record reviewed and 28 HFM staff undertook COC training in July 2019.
<b>Status of CAR:</b>	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>

#### 4.4 New Corrective Action Requests and Observations

<b>Finding Number: 2019.01</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU):	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> 12 months or next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	4.2.4
<b>Non-Conformity</b> <i>(or Background/ Justification in the case of Observations):</i> Contractor first aid kits in several vehicle/crew containers had expired material, although all sites had other first aid kits that were up to date. Worksafe requirements are that 'Items should be replaced before the expiry date shown on the packaging, where applicable.' As this isn't a Worksafe 'shall' requirement the CAR is graded as an observation.	
<b>Corrective Action Request</b> <i>(or Observation):</i> HFM should ensure first aid kits are checked regularly and items should be replaced before the expiry date. The monitoring of first aid kit expiry dates should be included in routine audits undertaken by HFM, eg the 2 yearly H & S Systems audit.	
<b>FME response</b> <i>(including any evidence submitted)</i>	
<b>SCS review</b>	
<b>Status of CAR:</b>	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>

<b>Finding Number: 2019.02</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU):	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> 12 months or next audit (surveillance or re-evaluation) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	4.2.4
<b>Non-Conformity</b> (or Background/ Justification in the case of Observations): During site inspections it was noted that several fire extinguishers hadn't been checked and there was no record on the tag or paper records of when last tested. Worksafe requires that all fire extinguishers are checked regularly to ensure they are safe for continued use. The HFM H&S system audit undertaken every two years requires that fires extinguishers are date stamped when tested annually.	
<b>Corrective Action Request</b> (or Observation): HFM should ensure contractor fire extinguishers are checked and this recorded annually.	
<b>FME response</b> (including any evidence submitted)	
<b>SCS review</b>	
<b>Status of CAR:</b>	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

<b>Finding Number: 2019.03</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU):	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> 12 months or next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	9.1.2
<b>Non-Conformity</b> (or Background/ Justification in the case of Observations): OTHP HCV have been mapped (stored on a shared G drive) but aren't yet fully integrated into the Forest and Land GIS system. The Forest and Land GIS system includes an OTHP reserves layer but HCV status isn't identified.	
<b>Corrective Action Request</b> (or Observation): HFM should include the OTHP HCV information in the Forest and Land GIS system as it is for the Tiaki and Taumata plantations.	

<b>FME response</b> <i>(including any evidence submitted)</i>	
<b>SCS review</b>	
<b>Status of CAR:</b>	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>

<b>Finding Number: 2019.04</b>	
<b>Select one:</b> <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
<b>FMU CAR/OBS issued to</b> (when more than one FMU):	
<b>Deadline</b>	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> 12 months or next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
<b>FSC Indicator:</b>	6.6.4 and also refer to FSC-POL-30-001 V3-0 EN
<b>Non-Conformity</b> <i>(or Background/ Justification in the case of Observations):</i> The HFM derogation for Sodium monofluoroacetate (1080) has expired (06 March 2018). 1080 hasn't been applied the past year but it may be applied in the future.	
<b>Corrective Action Request</b> <i>(or Observation):</i> The FM must complete an Environmental Social Risk Assessment (ESRA) prior to the use of 1080, incorporating any conditions from the most recently approved of any existing approved New Zealand 1080 derogations.	
<b>FME response</b> <i>(including any evidence submitted)</i>	
<b>SCS review</b>	
<b>Status of CAR:</b>	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>

## 5. Stakeholder Comments

In accordance with SCS protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. Distinct purposes of such consultation include:



- To solicit input from affected parties as to the strengths and weaknesses of the FME’s management, relative to the standard, and the nature of the interaction between the FME and the surrounding communities.
- To solicit input on whether the forest management operation has consulted with stakeholders regarding identifying any high conservation value forests (HCVFs).

Stakeholder consultation activities are organized to give participants the opportunity to provide comments according to general categories of interest based on the three FSC chambers, as well as the SCS Interim Standard, if one was used.

### 5.1 Stakeholder Groups Consulted

Principal stakeholder groups are identified based upon results from past evaluations, lists of stakeholders from the FME under evaluation, and additional stakeholder contacts from other sources. Stakeholder groups who are consulted as part of the evaluation include FME management and staff, consulting foresters, contractors, lease holders, adjacent property owners, local and regionally-based social interest and civic organizations, purchasers of logs harvested on FME forestlands, recreational user groups, tribal members and/or representatives, members of the FSC National Initiative, members of the regional FSC working group, FSC International, local and regionally-based environmental organizations and conservationists, and forest industry groups and organizations, as well as local, state, and federal regulatory agency personnel and other relevant groups.

### 5.2 Summary of Stakeholder Comments and Evaluation Team Responses

The table below summarizes the major comments received from stakeholders and the assessment team’s response. Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from SCS are noted below.

<input type="checkbox"/> FME has not received any stakeholder comments from interested parties as a result of stakeholder outreach activities during this annual evaluation.	
Stakeholder Comment	SCS Response
Feedback from all contractors is that HFM communication is good and they appreciate the company culture, particularly regarding health and safety.	Used as evidence of conformance

## 6. Certification Decision

The certificate holder has demonstrated continued overall conformance to the applicable Forest Stewardship Council standards. The SCS annual evaluation team recommends that the certificate be sustained, subject to subsequent annual evaluations and the FME’s response to any open CARs.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>Comments:</b> HFM are now undertaking the day to day forest management of OTPP forests. These areas have been integrated into their forest management system and added to their certificate.	

## 7. Annual Data Update

<input type="checkbox"/> No changes since previous evaluation.	
<input type="checkbox"/> Information in the following sections has changed since previous evaluation.	
<input type="checkbox"/> Name and Contact Information <input type="checkbox"/> FSC Sales Information <input checked="" type="checkbox"/> Scope of Certificate <input type="checkbox"/> Non-SLIMF FMUs <input checked="" type="checkbox"/> Social Information	<input checked="" type="checkbox"/> Pesticide and Other Chemical Use <input checked="" type="checkbox"/> Production Forests <input type="checkbox"/> FSC Product Classification <input checked="" type="checkbox"/> Conservation & High Conservation Value Areas <input type="checkbox"/> Areas Outside of the Scope of Certification

### Name and Contact Information

<b>Organization name</b>	Hancock Forest Management (NZ) Ltd		
<b>Contact person</b>	Kerry Ellem		
<b>Address</b>	Unit 5, 120 Hamilton Street, Tauranga, New Zealand 3110 PO Box 13404, Tauranga, New Zealand 3141	<b>Telephone</b>	+64 7 571 7915
		<b>Fax</b>	+64 7 571 7920
		<b>e-mail</b>	kellem@hrng.com
		<b>Website</b>	Hfm.nz

### FSC Sales Information

<input checked="" type="checkbox"/> FSC Sales contact information same as above.			
<b>FSC salesperson</b>	As Above		
<b>Address</b>		<b>Telephone</b>	
		<b>Fax</b>	
		<b>e-mail</b>	
		<b>Website</b>	

### Scope of Certificate

<b>Certificate Type</b>	<input checked="" type="checkbox"/> Single FMU	<input type="checkbox"/> Multiple FMU
	<input type="checkbox"/> Group	
<b>SLIMF (if applicable)</b>	<input type="checkbox"/> Small SLIMF certificate	<input type="checkbox"/> Low intensity SLIMF certificate
	<input type="checkbox"/> Group SLIMF certificate	
<b># Group Members (if applicable)</b>		
<b>Number of FMUs in scope of certificate</b>		
<b>Geographic location of non-SLIMF FMU(s)</b>	Latitude & Longitude: 38 degrees south, 176 degrees East	
<b>Forest zone</b>	<input type="checkbox"/> Boreal	<input checked="" type="checkbox"/> Temperate
	<input type="checkbox"/> Subtropical	<input type="checkbox"/> Tropical

<b>Total forest area in scope of certificate which is:</b>		<b>Units:</b> <input checked="" type="checkbox"/> ha or <input type="checkbox"/> ac	
privately managed		232,888	
state managed			
community managed			
<b>Number of FMUs in scope that are:</b>			
less than 100 ha in area		100 - 1000 ha in area	
1000 - 10 000 ha in area		more than 10 000 ha in area	One
<b>Total forest area in scope of certificate which is included in FMUs that:</b>		<b>Units:</b> <input type="checkbox"/> ha or <input type="checkbox"/> ac	
are less than 100 ha in area		0	
are between 100 ha and 1000 ha in area		0	
meet the eligibility criteria as <i>low intensity</i> SLIMF FMUs		0	
<b>Division of FMUs into manageable units:</b>			

**Social Information**

<b>Number of forest workers (including contractors) working in forest within scope of certificate (differentiated by gender):</b>		
male workers: # 1228	female workers: # 114.5	
<b>Number of accidents in forest work since previous evaluation:</b>	Serious: # 6 LTI's 7 MTI's	Fatal: # Nil

**Pesticide and Other Chemical Use**

<input type="checkbox"/> FME does not use pesticides.				
Commercial name of pesticide / herbicide	Active ingredient	Quantity applied since previous evaluation (kg )	Total area treated since previous evaluation (ha or ac)	Reason for use
<i>NB: The data below is for the 2018-19 financial year, July to June .</i>				
Cloralid 300	Clopyralid	591kg	2025 ha	Post-plant weed control
Cloram	Clopyralid Picloram	109kg 73kg	313 ha	Pre-plant weed control
Glyphosate 510	Glyphosate	20848 kg	5602 ha	Pre-plant weed control
Haloxyfop	Haloxyfop	190 kg	655 ha	Post-plant weed control
Hexagran 750	Hexazinone	9112 kg	6538 ha	Post-plant weed control
Hexol	Hexazinone	949 kg	293 ha	Post-plant weed control
Meturon	Metsulfuron	902 kg	5384 ha	Pre-plant weed control

Picloram 200	Picloram	109 kg	558 ha	Post-plant weed control
Terbutylazine 500	Terbutylazine	34398 kg	5829 ha	Post-plant weed control
Trichloram Brushkiller	Triclopyr Picloram	193 kg 64 kg	606 ha	Pre & post plant weed control
Valzine 500	Terbutylazine Hexazinone	456 kg 80 kg	257 ha	Post-plant weed control
Velpar 20G	Hexazinone	46 kg	63 ha	Post-plant weed control
Cuprous Oxide	Copper	44601 kg	40644 ha	Dothistroma control

**Production Forests**

<b>Timber Forest Products</b>	<b>Units:</b> <input checked="" type="checkbox"/> ha or <input type="checkbox"/> ac
Total area of production forest (i.e. forest from which timber may be harvested)	183,958
Area of production forest classified as 'plantation'	183,958
Area of production forest regenerated primarily by replanting or by a combination of replanting and coppicing of the planted stems	183,958
Area of production forest regenerated primarily by natural regeneration, or by a combination of natural regeneration and coppicing of the naturally regenerated stems	0
<b>Silvicultural system(s)</b>	<b>Area under type of management</b>
Even-aged management	
Clearcut (clearcut size range )	183,958
Shelterwood	0
Other:	0
Uneven-aged management	
Individual tree selection	0
Group selection	0
Other:	0
<input type="checkbox"/> Other (e.g. nursery, recreation area, windbreak, bamboo, silvo-pastoral system, agro-forestry system, etc.)	0
<b>Non-timber Forest Products (NTFPs)</b>	
Area of forest protected from commercial harvesting of timber and managed primarily for the production of NTFPs or services	Significant areas of the plantation are used for recreational use (including commercial recreation operations). Some understory crops – most notably Ginseng in Maraeroa C. Approx. 222 ha pasture (grazing leases), 1012 ha utilities (powerline corridors etc.). None of these products are FSC Certified.
Other areas managed for NTFPs or services	As above significant areas of the plantation are also used for NTFP's and services.

Approximate annual commercial production of non-timber forest products included in the scope of the certificate, by product type	The forests are used for a range of private commercial ventures such as recreation businesses, movies, harvesting of punga ferns, firewood collection etc. No non-timber forest products from the forests are sold as FSC certified.
<b>Species in scope of joint FM/COC certificate: (Scientific / Latin Name and Common / Trade Name)</b>	
Species within the estate include: <ul style="list-style-type: none"> <li>• Pinus radiata</li> <li>• Pseudotsuga menziesii</li> <li>• Eucalyptus fastigata</li> <li>• Eucalyptus nitens</li> <li>• Eucalyptus regnans</li> </ul> Numerous small areas of minor species (Cupressus lusitanica, Pinus muricata, Cryptomeria japonica)	

### FSC Product Classification

Timber products		
Product Level 1	Product Level 2	Species
W1	W1.1 Saw logs and pulp logs	All above
Non-Timber Forest Products		
Product Level 1	Product Level 2	Product Level 3 and Species

### Conservation and High Conservation Value Areas

Conservation Area	Units: <input type="checkbox"/> ha or <input type="checkbox"/> ac
<b>Total amount</b> of land in certified area protected from commercial harvesting of timber and managed primarily for conservation objectives (includes both forested and non-forested lands).*	36,074 ha

\*Note: Total conservation and HCV areas may differ since these may serve different functions in the FME's management system. Designation as HCV may allow for active management, including commercial harvest. Conservation areas are typically under passive management, but may undergo invasive species control, prescribed burns, non-commercial harvest, and other management activities intended to maintain or enhance their integrity. In all cases, figures are reported by the FME as it pertains local laws & regulations, management objectives, and FSC requirements.

High Conservation Value Forest / Areas			Units: <input checked="" type="checkbox"/> ha or <input type="checkbox"/> ac
Code	HCV Type	Description & Location	Area
HCV1	Forests or areas containing globally, regionally or nationally significant concentrations of biodiversity values (e.g. endemism, endangered species, refugia).	Cook Rd Forest, Whatoro Forest, Northland Confirmed by DOC as being part of an area with a nationally significant kiwi population, linking Trounson Park & Kaihu Forest Park.	316ha

		Houpoto Swamp, Houpoto Forest, Eastern Bay of Plenty. Large scale wetland, assessed by Bay of Plenty Regional Council as a site of national significance.	110ha
		Rawea wetland, Torere Forest, Eastern Bay of Plenty. High quality wetland assessed by Bay of Plenty Regional Council as a site of national significance.	65.4ha
HCV2	Forests or areas containing globally, regionally or nationally significant large landscape level forests, contained within, or containing the management unit, where viable populations of most if not all naturally occurring species exist in natural patterns of distribution and abundance.		
HCV3	Forests or areas that are in or contain rare, threatened or endangered ecosystems.	<p>Pokapoka Stream wetland, Waiomio Forest, Northland. A large wetland assessed as nationally significant by DOC staff.</p> <p>Lake Rd Lake, Kinleith Forest, Waikato Region. One of few natural lakes in the Ecological District, assessed by Waikato Region as nationally significant.</p> <p>Kokota Dunes wetland Lake Morehurehu &amp; associated wetlands Lake Te Kahika All three of the above are located in Te Kao forest, Northland. All are dune lake and wetland associations, assessed by DOC as nationally significant.</p> <p>Te Ranginui wetland, Orete Forest. Kahikatea forest – nationally rare forest type.</p> <p>Tokerau A1 wetland Te Toke wetland 01 Te Toke wetland 02 Tikitere wetland 01</p> <p>Tikitere geothermal reserves:</p>	<p>83.6ha</p> <p>25ha</p> <p>442ha 97.1ha 76.4ha</p> <p>5ha</p>

		<p>LEPT-02 LEPT-13 LEPT-03 LEPT-12 LEPT-01</p> <p>Tikitere geothermal sinter terraces GEOT-01</p> <p>Tuhoe wetland 01 Tuhoe wetland 02 Tuhoe wetland 03 Tuhoe wetland 04</p>	<p>8.3ha 15.8ha 12.7ha 10.6ha</p> <p>3.9ha 1.1ha 1.8ha 1.8ha 2.9ha</p> <p>1.3ha</p> <p>8.9ha 7.9ha 0.8ha 3.1ha</p>
HCV4	Forests or areas that provide basic services of nature in critical situations (e.g. watershed protection, erosion control).		
HCV5	Forests or areas fundamental to meeting basic needs of local communities (e.g. subsistence, health).		
HCV6	Forests or areas critical to local communities' traditional cultural identity (areas of cultural, ecological, economic or religious significance identified in cooperation with such local communities).	<p>Pohaturoa, Kinleith Forest, Waikato Region. Very important cultural site for Ngati Raukawa, Te Arawa and Tuwharetoa.</p> <p>Omaio waahi tapu (0851-RS02)</p>	<p>36ha</p> <p>0.8ha</p>
<b>Total area of forest classified as 'High Conservation Value Forest / Area'</b>			<b>1338.2 ha</b>

**Areas Outside of the Scope of Certification (Partial Certification and Excision)**

<input checked="" type="checkbox"/> <i>N/A – All forestland owned or managed by the applicant is included in the scope.</i>	
<input type="checkbox"/> <i>Applicant owns and/or manages other FMUs not under evaluation.</i>	
<input type="checkbox"/> <i>Applicant wishes to excise portions of the FMU(s) under evaluation from the scope of certification.</i>	
<b>Explanation for exclusion of FMUs and/or excision:</b>	

<b>Control measures to prevent mixing of certified and non-certified product (C8.3):</b>		
<b>Description of FMUs excluded from, or forested area excised from, the scope of certification:</b>		
<b>Name of FMU or Stand</b>	<b>Location (city, state, country)</b>	<b>Size ( <input type="checkbox"/> ha or <input type="checkbox"/> ac )</b>



