

Responsible Wood® Forestry Standard

Forest Management System Summary Report

Hancock Forest Management NZ Ltd

Tauranga, New Zealand
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Kerry Ellem, General Manager
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Certificate# SCS-NZS-001

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SECTION A – PUBLIC SUMMARY REPORT

1. General Information

1.1 Name and Contact Information

Organization name	Hancock Forest Management (NZ) Limited		
Contact person	Kerry Ellem		
Address	Unit 5, 120 Hamilton Street, Tauranga, New Zealand 3110 PO Box 13404, Tauranga, New Zealand 3141	Telephone	+64 7 571 7915
		Fax	+64 7 571 7920
		e-mail	kellem@hrng.com
		Website	www.hfm.nz

1.2 RW/ PEFC Sales Information

<input checked="" type="checkbox"/> RW/ PEFC Sales contact information same as above.			
RW/ PEFC salesperson			
Address		Telephone	
		Fax	
		e-mail	
		Website	

1.3 Scope of Certificate

Any changes in the scope of the certification since the previous audit are highlighted in **yellow** in the tables below.

Checking this box indicates this is a Stage 1 summary report, and the scope table below reflects relevant information for the certification *applicant* (i.e., certification decision not yet determined).

Certificate Code	SCS-NZS-001
Certification Statement <input type="checkbox"/> N/A (Stage 1 summary report)	<p>The scope of the certificate includes all forest management activities associated with the Hancock Forest Management NZ Ltd sustainable forest management system within the Defined Forest Area (DFA). Activities include the planning, establishment, maintenance, roading, harvest, transport, and sale of trade of forest products. Hancock Forest Management has sufficient control over any contracting or outsourcing of forest management planning and activities to ensure compliance to applicable certification requirements. More information regarding Hancock, including a link to its Forest Management Plan can be found at http://hfm.nz and maps here, http://hfm.nz/about-us/.</p>

Certification Recommendation by Audit Team to SCS <input type="checkbox"/> N/A (Stage 1 summary report)	<input checked="" type="checkbox"/> Initial or continued certification is recommended, subject to the Organization meeting deadlines to response any findings issued.	<input type="checkbox"/> Initial or continued certification is not recommended (<i>explain</i>):
Certificate Type	<input checked="" type="checkbox"/> Single DFA	<input type="checkbox"/> Multiple DFA
	<input type="checkbox"/> Group	
# Group Members (if applicable)	NA	
Number of DFAs in Scope of Certificate	NA	
Description of DFA(s) as Advised to RW Limited	Area (ha):	Central Region is 106,277 ha.
	Area (ha):	Eastern Region is 32,328 ha.
	Area (ha):	Northern Region is 47,979 ha.
	Area (ha):	OTPP Region: 40,454 ha
	Refer to publicly available maps and information about the DFA(s) provided by the Organization on its website or upon request via the contact person cited at the beginning of this report.	
Exclusion of areas from DFA(s)	<input checked="" type="checkbox"/> N/A – Entire DFA(s) owned or managed by the Organisation is included in the scope of certification.	
	Organisation wishes to exclude portions of the DFA(s) under evaluation from the scope of certification (check all that apply): <input type="checkbox"/> Nursery areas within the DFA(s); <input type="checkbox"/> Transportation infrastructure under the control of the Organisation, but outside of the DFA(s); <input type="checkbox"/> Areas under the control of the Organisation such as log dumps, merchandising yards or ports within or outside of the DFA(s). <i>Note that COC requirements still apply to the forest products that have come from the DFA(s) and may be evaluated onsite to determine conformance to such requirements; and/or</i> <input type="checkbox"/> Public infrastructure development areas not under the management control of the Organisation (see AS4708 GN01-2013, Requirement 3.9, Guide to implementation, infrastructure, item 2 for more information).	
	<input type="checkbox"/> The Organisation has persistently or seriously failed to meet the certification requirements for specific areas within the DFA(s). The Certification Body has reduced the scope of certification to exclude these areas not meeting the certification requirements. These reductions have been duly reviewed by the Certification Body and are in line with RW certification requirements.	
Applicable Standards/ Guidance	<input type="checkbox"/> AS4708-2013 (Australian Standard® for Sustainable Forest Management)	

	<input checked="" type="checkbox"/> NZS4708-2014 (New Zealand Standard® for Sustainable Forest Management)	
	<input type="checkbox"/> AS4708 GN02-2013 (Guidance for the certification of group forest management)	
Forest Ownership Type	<input type="checkbox"/> Single Entity – One site – Single forest owner and single forest manager. <input type="checkbox"/> Multi-site Entity – Multiple sites – Single forest owner and single forest manager <input checked="" type="checkbox"/> Multi-site Entity – Multiple sites – Multiple forest owners and single forest manager <input type="checkbox"/> Group Entity – Multiple sites – Multiple forest owners and multiple forest managers controlled by a Group Manager	
Forest Management Type	<input checked="" type="checkbox"/> Plantation	<input type="checkbox"/> Natural/ semi-natural
Forest Product Type(s)	<input checked="" type="checkbox"/> Roundwood	<input checked="" type="checkbox"/> Chips
	<input type="checkbox"/> Non-timber Forest Products	<input type="checkbox"/> Other (<i>describe</i>):
Species (Common and Scientific Name(s))	Radiata Pine (<i>Pinus radiata</i>); some Douglas Fir (<i>Pseudotsuga menziesii</i>), mixed eucalypt species	
Statement on the conformity and effectiveness of the management system together with a summary of the evidence relating to: <ul style="list-style-type: none"> - the capability of the management system to meet applicable requirements and expected outcomes; - the internal audit and management review process 	<p>Hancock Forest Management (NZ) Ltd continue to implement a forest management system in conformance with the requirements of the standard.</p> <ul style="list-style-type: none"> ▪ The review of the Forest management System to confirm its ongoing adequacy effectiveness and suitability has been completed based on the review of documentation in compliance with the standard requirements. ▪ HFM maintains a strong focus on retaining a robust safety culture and this is evident from remotely conducted site visits which observed a high level of awareness by forest workers of risks/hazards and controls related to their operational activities. ▪ The comprehensive and prescriptive harvest planning framework implemented across all the HFM regions demonstrates a consistency in documentation used and implementation of work practices throughout the company. ▪ Overall, HFM staff and contractors display a high level of capability and professionalism in the delivery of forest management services. 	
Conclusion on the appropriateness of the certification scope	The scope of certification is relevant to the forests and lands managed by HFM as appropriate and includes the OTPP (Ontario Teachers’ Pension Plan) estate which is also managed by HFM NZ Ltd and became part of the certification scope in 2019.	
RW Logos/labels	<input checked="" type="checkbox"/> Logo checked and conformant (no non-conformities issued)	

	<input type="checkbox"/> Logo checked, and Nonconformities issued
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2. Audit Dates and Activities

2.1 Audit Itinerary and Activities

N/A (Stage 1 Audit)

Type of Audit:	<input type="checkbox"/> Evaluation/ re-evaluation	<input type="checkbox"/> 1 st annual surveillance audit	<input type="checkbox"/> 2 nd annual surveillance audit	<input type="checkbox"/> 3 rd annual surveillance audit
	<input type="checkbox"/> Combined audit	<input checked="" type="checkbox"/> Joint audit	<input type="checkbox"/> Integrated audit	
	<input checked="" type="checkbox"/> Special audit (<i>explain</i>): This is an additional surveillance audit, some portions conducted remotely, replacing the scheduled recertification evaluation under RW derogations related to COVID 19 Pandemic restrictions.			
Date of Audit:	31 August 2020 to 4 September 2020			
Auditor(s):	Graeme Lea, Lead Auditor and Kimberly Robertson, Auditor for onsite visits in the HFM NZ Eastern and Central Regions.			
Objective and Scope of the Audit:	<p>The objectives and scope of this audit are described as:</p> <ul style="list-style-type: none"> ▪ Determination of the conformity of the organization’s forest management system with audit criteria; ▪ Evaluation of the ability of the forest management system to ensure the organization meets applicable statutory, regulatory and contractual requirements; ▪ Evaluation of the effectiveness of the forest management system to ensure the organization is continually meeting its specified objectives; and ▪ As applicable, identification of areas for potential improvement of the forest management system (OFI’s) ▪ Visits to a sample of operational sites representing a range of forest management activities in 2 Regions including supporting infrastructure including: Northern and Central Regional offices; Tarawera Depot, Kinleith Log Yard, Kawerau Processing Yard, harvesting and forestry operations in Central, and Northern FMUs and included inactive sites and road systems throughout. 			
Any deviations from the audit plan and their reasons, if applicable:	There were minor deviations during the audit, principally due to electronic or internet connection issues, however all site visits were completed as required apart from the Tarawera Fire Store (unmanned) which was not visited, however the Woodhill Forest Fire store was visited (as an alternative) remotely by the lead Auditor			

Summary of most important observations, positive as well as negative, regarding implementation and effectiveness of the Forest Management System:	<p>Hancock FM continue to implement a forest management system in compliance with the requirements of the NZ4S708 Forest Management Standard.</p> <ul style="list-style-type: none"> ▪ No Major non-conformities were identified as part of this assessment. ▪ 1 Minor non-conformance identified during the surveillance audit was in relation to General requirements 0.2 (Chain of Custody). ▪ Opportunities for improvements are identified in the body of the main report.
Significant changes, if any, that affect the management system of the client since the last audit took place:	<p>There have been no significant changes to the organization in the audit period, confirmed in interview with the Chief operating officer</p>
Effectiveness of taken corrective actions regarding previously identified nonconformities, if applicable:	<p>Corrective actions to address non-conformities from the last visit were reviewed and considered effective.</p>
Unresolved issues (if identified):	<p>There were no unresolved issues identified as part of this assessment.</p>
Notes/Comments:	

